

UK ATHLETICS LIMITED (UKA)

ANTI-DOPING RULES

VERSION 1- IN FORCE AS FROM 1 JANUARY 2021¹

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SECTION 1 - INTRODUCTION

UKA's commitment to anti-doping

UKA is the national governing body of the sport of athletics in the United Kingdom of Great Britain and Northern Ireland ("the UK") under the jurisdiction of World Athletics and, for Para Athletics, the International Paralympic Committee ("IPC").

The principles of integrity, fairness, equity and respect are key values which are essential to meaningful sporting success. The illicit use of Prohibited Substances and Methods wholly undermines these principles and may also damage the reputation of sport and the health of athletes.

UKA is committed to protecting the integrity of athletics. UKA is committed to establishing an environment which promotes and reinforces doping-free behaviour among participants, so that successive generations of athletes will have confidence in their ability to succeed without the misuse of Prohibited Substances and Methods and are supported by likeminded support personnel.

UKA invests heavily in targeted athlete education, providing information and technical advice to enable athletes to make informed and responsible choices in compliance with these Rules. UKA also works with both domestic and international anti-doping authorities to implement a comprehensive programme of 'no-notice' testing, both in- and out-of-competition.

¹ Amended 7 May 2021

UKA shall, further to its powers set out in its Memorandum of Association, make, maintain and enforce these UKA Anti-Doping Rules (the "Rules") to regulate the prohibition and control of doping in athletics within the UK. The purpose of these Rules is to implement the World Athletics Anti-Doping Rules, the IPC Anti-Doping Code and the UK Anti-Doping Rules within UKA's jurisdiction in order to protect the rights and health of participants in athletics and to protect the values of fair play in athletics.

Unless otherwise specified, defined terms used in these Rules have the meaning given to them in the World Anti-Doping Code.

Background to these Rules

World Athletics

UKA is a member federation of World Athletics (formerly the International Association of Athletics Federations "IAAF"), the international federation for non-para athletes. World Athletics has delegated the implementation of its anti-doping rules to an Athletics Integrity Unit established with effect from 3 April 2017.

The World Athletics Anti-Doping Rules ("World Athletics Anti-Doping Rules") apply to all member federations of World Athletics and have been adopted in compliance with the World Anti-Doping Code. UKA is the member federation of World Athletics for the UK and as such is required to incorporate the World Athletics Anti-Doping Rules into its own rules and to include in those rules the provisions necessary to implement the World Athletics Anti-Doping Rules (and any changes that may be made to them) effectively in relation to all non-para Athletes, Athlete Support Personnel and other Persons who fall under UKA's jurisdiction, so that they apply to and govern all Anti-Doping Activities carried out by World Athletics or its Delegated Third Parties in respect of such Athletes, Athlete Support Personnel, and other Persons. In particular, UKA shall facilitate as necessary In- and Out-of-Competition Testing of Athletes under its jurisdiction by or on behalf of World Athletics and shall report to World Athletics/the Integrity Unit in accordance with the World Athletics Anti-Doping Rules.

The World Athletics Anti-Doping Rules currently in force are published on the Athletics Integrity website, and links are provided below at Section 3.

International Paralympic Committee

The international federation for para athletes is the International Paralympic Committee ("the IPC"), whose Anti-Doping Code ("the IPC Anti-Doping Code") has been adopted by the IPC in compliance with the World Anti-Doping Code. As a result of its agreement with the BPA and the BPA's membership of the IPC, UKA is required to adopt anti-doping rules which are compliant with the IPC Anti-Doping Code (as amended from time to time) in relation to para Athletes, Athlete Support Personnel, and other Persons who fall under UKA's jurisdiction, to apply to and govern all Anti-Doping Activities carried out by the IPC or its Delegated Third Parties in respect of such Athletes, Athlete Support Personnel, and other Persons. The IPC Anti-Doping Code currently in force is available via the link at Section 3 below.

UK Anti-Doping

The National Anti-Doping Organisation for the United Kingdom is UK Anti-Doping (“UKAD”), which has issued the UK Anti-Doping Rules in compliance with the World Anti-Doping Code. In accordance with the World Anti-Doping Code and the UK National Anti-Doping Policy, UKA incorporates the UK Anti-Doping Rules (as amended from time to time) into its own rules, to apply to and govern all Anti-Doping Activities carried out by UKAD or its Delegated Third Parties in respect of all Athletes, Athlete Support Personnel, and other Persons (as those terms are defined in the UK Anti-Doping Rules) who fall under UKA’s jurisdiction. The UK Anti-Doping Rules currently in force are available via the link at Section 3 below.

Changes to these Rules and the Prohibited List

Athletes are reminded that the World Athletics Anti-Doping Rules, the IPC Anti-Doping Code, the UK Anti-Doping Rules and the WADA Prohibited List may change from time to time. The relevant links for each of these sets of rules are set out at Section 3 and a hard copy of the current WADA Prohibited List can be obtained from UKA’s Clean Athletics² Department upon request.

² In January 2017, the UKA Anti-Doping Department was renamed “Clean Athletics” to better reflect its primary role in supporting those athletes that wish to compete clean.

SECTION 2 – UKA ANTI-DOPING RULES

Rule 1 Adoption of the World Athletics Anti-Doping Rules

- 1.1 In respect of non-para athletics in the UK, UKA hereby adopts the World Athletics Anti-Doping Rules (as amended from time to time) in accordance with its obligations pursuant to Article 1.4.1 of the World Athletics Anti-Doping Rules, and incorporates them into these Rules as if set out in full herein. For the avoidance of doubt, UKA expressly adopts Article 2.11 of the World Athletics Anti-Doping Rules in accordance with Article 15.4.2(b) of the World Athletics Anti-Doping Rules.
- 1.2 In the event that World Athletics adopts new Anti-Doping Rules which supersede these Rules, the new World Athletics Anti-Doping Rules will prevail during any intervening period before UKA updates these Rules (where it deems it necessary to do so).
- 1.3 All Athletes, Athlete Support Personnel and Persons (with each of these terms as defined in the World Athletics Anti-Doping Rules) under UKA's jurisdiction in non-para athletics shall be bound by the World Athletics Anti-Doping Rules (as amended from time to time) and shall submit to the Results Management authority of the Anti-Doping Organisation responsible under the World Athletics Anti-Doping Rules as a condition of participation in any Competition (as defined in the World Athletics Anti-Doping Rules) or activity authorised or organised by UKA (or one of its member organisations).
- 1.4 World Athletics may (through the Integrity Unit) enforce the World Athletics Anti-Doping Rules directly as against all non-para Athletes, Athlete Support Personnel and other Persons under UKA's jurisdiction, including against UKA's servants, agents, employees, directors and officials, in relation to all Anti-Doping Activities (including Testing, investigations, and Results Management) carried out by World Athletics or its Delegated Third Parties in respect of such Athletes, Athlete Support Personnel, and other Persons.

Rule 2 The IPC Anti-Doping Code

- 2.1 In respect of para athletics in the UK, UKA hereby adopts the IPC Anti-Doping Code (as amended from time to time) and incorporates it into these Rules as if set out in full herein.
- 2.2 In the event that the IPC adopts a new Anti-Doping Code, the new IPC Anti-Doping Code will prevail during any intervening period before UKA updates these Rules (where it deems it necessary to do so).
- 2.3 All Athletes, Athlete Support Personnel and Persons (with each of these terms as defined in the IPC Anti-Doping Code) under UKA's jurisdiction in para athletics shall be bound by the IPC Anti-Doping Code (as amended from time to time).

- 2.4 The IPC may enforce the IPC Anti-Doping Code directly as against all para Athletes, Athlete Support Personnel and other Persons under UKA's jurisdiction, including against UKA's servants, agents, employees, directors and officials, in relation to all Anti-Doping Activities (including Testing, investigations, and Results Management) carried out by the IPC or its Delegated Third Parties in respect of such Athletes, Athlete Support Personnel, and other Persons.

Rule 3 The UK Anti-Doping Rules

- 3.1 UKA hereby adopts the UK Anti-Doping Rules (as amended from time to time) and incorporates them into these Rules as if set out in full herein.
- 3.2 In the event that UKAD adopts new UK Anti-Doping Rules, the new UK Anti-Doping Rules will prevail during any intervening period before UKA updates these Rules (where it deems it necessary to do so).
- 3.3 All Athletes, Athlete Support Personnel and Persons (with each of these terms as defined in the UK Anti-Doping Rules) under UKA's jurisdiction shall be bound by the UK Anti-Doping Rules (as amended from time to time).
- 3.4 UKAD may enforce the UK Anti-Doping Rules directly as against all such Athletes, Athlete Support Personnel and other Persons under UKA's jurisdiction, including against UKA's servants, agents, employees, directors and officials, in relation to all Anti-Doping Activities (including Testing, investigations, and Results Management) carried out by UKAD or its Delegated Third Parties in respect of such Athletes, Athlete Support Personnel, and other Persons.

Rule 4 Application of these Rules

- 4.1 These Rules shall apply to and shall bind all Athletes, Athlete Support Personnel and other Persons (as defined in the World Athletics Anti-Doping Rules or IPC Anti-Doping Code or UK Anti-Doping Rules, as applicable) under the jurisdiction of UKA, including (without limitation):
- 4.1.1 all Athletes, Athlete Support Personnel and other Persons who are members of, or licensed by UKA and/or member or affiliate organisations of UKA (including any clubs, teams, associations or leagues);
 - 4.1.2 all Athletes, Athlete Support Personnel and other Persons participating in such capacity in events, competitions and other Athletics activities organised, convened, authorised or recognised by UKA or any of its member or affiliate organisations (including any clubs, teams, associations or leagues), wherever held;
 - 4.1.3 all Athlete Support Personnel and other Persons working with, treating or assisting an Athlete subject to these Rules and participating in their sporting capacity; and

4.1.4 any other Athlete, Athlete Support Personnel or other Person who, by virtue of an accreditation, licence, contractual arrangement or otherwise, is subject to the jurisdiction of UKA for the purposes of anti-doping,

whether or not such person(s) is or are resident in the UK.

4.2 Responsibility for Results Management:

4.2.1 The Results Management process shall be conducted by the Anti-Doping Organisation that initiated and directed Sample collection or, if no Sample collection is involved, the Anti-Doping Organisation that first provides notice of a potential anti-doping rule violation to the relevant Person and then diligently pursues it, in accordance with that Anti-Doping Organisation's rules.

4.2.2 In respect of any alleged breach of these Rules for which UKAD has responsibility for Results Management, the UK Anti-Doping Rules shall apply, and in accordance therewith the National Anti-Doping Panel ("NADP") shall have exclusive jurisdiction to hear and determine the matter (including, where applicable, any appeal of the first instance ruling) in accordance with the NADP Procedural Rules ("NADP rules").

Rule 5 Supplementary Provisions

5.1 In the event of any discrepancy between these Rules on the one hand and the World Athletics ADR or IPC Anti-Doping Code or UK Anti-Doping Rules (as applicable) on the other hand, the World Athletics Anti-Doping Rules or IPC Anti-Doping Code or UK Anti-Doping Rules (as applicable) shall prevail.

5.2 Where an Athlete, Athlete Support Personnel or other Person to whom these Rules apply:

5.2.1 refuses or fails without compelling justification to comply with any provision of the World Athletics Anti-Doping Rules or the IPC Anti-Doping Code or UK Anti-Doping Rules (as applicable), but such refusal or failure does not constitute an anti-doping rule violation under those rules;

5.2.2 engages in offensive conduct towards a Doping Control officer or other Person involved in Doping Control that does not rise to the level of Tampering;

5.2.3 refuses or fails to cooperate in full with an Anti-Doping Organisation investigating a potential anti-doping rule violation;

5.2.4 (if they are an Athlete Support Person) Uses or Possesses a Prohibited Substance or Prohibited Method without valid justification;

UKA may elect to initiate disciplinary proceedings against them pursuant to UKA's own Disciplinary Procedures.

- 5.3 In any first instance and appeal proceedings brought in accordance with the UK Anti-Doping Rules, subject to any contrary provisions in the NADP Rules and the Court of Arbitration for Sport ("CAS") Code of Sports-related Arbitration (as applicable):
- 5.3.1 The Athlete, Athlete Support Personnel or other Person being disciplined is responsible for paying all of their own costs (including but not limited to legal costs, scientific or other experts' fees, witness costs and any costs of medical examinations), whether or not they are successful in the relevant proceedings; and
- 5.3.2 The Athlete, Athlete Support Personnel or other Person being disciplined shall not be responsible for any fees or expenses of the NADP, any NADP Appeal Tribunal, or the CAS.
- 5.4 UKA reserves the right to Publicly Disclose information relating to alleged anti-doping rule violations and consequent disciplinary proceedings in accordance with the World Athletics Anti-Doping Rules or the IPC Anti-Doping Code or UK Anti-Doping Rules (as applicable), in each case as discussed and agreed with the Athletics Integrity Unit, the IPC, or UKAD (as applicable).
- 5.5 UKA may at any time notify UKAD, the British Olympic Association, World Athletics, WADA, the IOC, the IPC, and/or any other relevant body of any details relating to any potential anti-doping rule violation and/or the disciplinary process relating to any Athlete or any other Person subject to these Rules, in accordance, in each case, with the World Athletics Anti-Doping Rules or the IPC Anti-Doping Code or UK Anti-Doping Rules (as applicable).
- 5.6 World Athletics, the IPC, UKA and UKAD may collect, store, process or disclose personal information relating to Athletes and other Persons where necessary and appropriate under the WADA Code, the World Athletics Anti-Doping Rules, the IPC Anti-Doping Code, the UK Anti-Doping Rules, these Rules and the International Standards (including specifically the International Standard for the Protection of Privacy and Personal Information).
- 5.7 Athletes, Athlete Support Personnel and other Persons subject to these Rules are reminded that UKA or UK Sport (or any other relevant Sports Council) may take such action as it or they think fit in relation to a breach of the World Athletics Anti-Doping Rules, the IPC Anti-Doping Code, the UK Anti-Doping Rules and these Rules by an Athlete, Athlete Support Personnel or other Person subject to these Rules including (without limitation) suspending or cancelling any lottery funding in relation to such Athlete, Athlete Support Personnel or other Person and the prohibition of using certain facilities by that Athlete, Athlete Support Personnel or other Person.
- 5.8 A Minor (as defined in the UK Anti-Doping Rules) may not participate in the sport of athletics in the UK unless a parent or guardian of that Minor has consented to Testing (as defined in the UK Anti-Doping Rules) of that Minor. For the purposes of these Rules, such consent may

be inferred from the fact that the Minor has been permitted by his/her parent or guardian to participate in athletics. Confirmation in writing of such consent may be required to be provided at any time. Where an Athlete who is a Minor is included in the National Registered Testing Pool or Domestic Testing Pool (as defined in the UK Anti-Doping Rules), their parent or guardian must confirm their ongoing consent to Testing in writing upon notification of inclusion within the National Registered Testing Pool or Domestic Testing Pool. In addition, the rules of a particular Event or Competition (both terms as defined in the UK Anti-Doping Rules) may require the provision of written consent to Testing as a pre-condition of participation by any minor in the Event or Competition. References in this Rule 5.8 to consent are concerned with consent to Testing of Athletes and shall not indicate that an Athlete's consent (or, where the Athlete is a Minor, consent from a parent or guardian of that Minor) is required to provide a lawful basis for the processing of the Athlete's personal information, including personal information in any samples collected as a result of Testing.

- 5.9 These Rules, and any disputes or proceedings (whether contractual or non-contractual) arising from them, are governed by the laws of England and Wales and, subject to any applicable dispute resolution provisions in the World Athletics Anti-Doping Rules, the IPC Anti-Doping Code and the UK Anti-Doping Rules, the courts of England and Wales shall have exclusive jurisdiction in relation to these Rules, decisions made under them, and disputes or proceedings (whether contractual or non-contractual) arising from them.
- 5.10 UKA reserves the right to amend these Rules from time to time. The Rules shall be reviewed on an annual basis or upon amendment to the World Athletics Anti-Doping Rules and/or the IPC Anti-Doping Code and/or the UK Anti-Doping Rules.

SECTION 3- LINKS

Organisation	Document	Link
World Anti-Doping Agency	World Anti-Doping Code Prohibited List	https://www.wada-ama.org/
International Paralympic Committee	Anti-Doping Code	https://www.paralympic.org/antidoping
World Athletics	Anti-Doping Rules	https://www.athleticsintegrity.org/know-the-rules
UK Anti-Doping	UK Anti-Doping Rules	https://www.ukad.org.uk/about/anti-doping-rules